**JONATHAN R. PHILLIPS**

**563-299-9754**

**JonathanRPhillips1.gmail.com**

**WORK EXPERIENCE\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**

**Senior Lead Auditor, Wells Fargo Audit Services, West Des Moines IA May 2017 - Present**

* Design, lead & report on audits as both AIC and staff in accordance with WFAS policy. Primary focus on Wholesale, WFHL Capital Markets, and Corporate Treasury audits. **Awarded:** Team Excellence Award: June 2017, November 2017, January 2018, March 2018
* Identify design of control gaps and/or control weaknesses, provide credible challenge to business partners and deliver Issues & Recommendations (I&R) memos to business partners.
* Lead multiple engagements concurrently while performing work with high quality and making deadlines. Prioritize work based on engagement timelines and regulatory requirements.
* Lead quarterly Business Monitoring Program (BMP) meetings, including the quarterly summary with Audit Leadership and assess the impact on the overall audit plan. Continue to develop and maintain WFHL business partners relationships.
* Contribute new ideas within Wholesale TMEC in an effort to create efficiencies within the Brainstorming Process for audits. Collaborate with other Wholesale audit team members to create a Staff Documentation guide to coach staff on policy requirements on documentation.

**Senior Auditor, Wells Fargo Audit Services, West Des Moines IA May 2015 – April 2017**

* Design, lead & report on audits as both AIC and staff in accordance with WFAS policy. Primary focus on Wholesale and WFHL Capital Markets audits. **Awarded:** Truly Outstanding Performance (T.O.P.) Award: August 2015, October 2015, April 2017; Team Excellence Award: July 2015, September 2015; Diversity Excellence Award: February 2016; Commitment to Quality Award Winner (2015): May 2016
* Identify design of control gaps and/or control weaknesses, provide credible challenge to business partners and deliver Issues & Recommendations (I&R) memos to business partners.
* Champion for Capital Markets & Portfolio Management (CMPM) – Community of Practice (CoP).
* Assist with WFHL Servicing special project as part of the Amended Consent Order issued by the OCC.
* Develop and maintain WFHL business partners relationships. Contribute to the quarterly Business Monitoring Program (BMP) summary and assess impact on the overall audit plan.
* Collaborate with other Wholesale audit team members as part of TMEC to bring change and improvements to the WFAS Wholesale team.

**Auditor, Wells Fargo Audit Services, West Des Moines IA June 2014 – May 2015**

* Execute test work for audits and issue validations related to WFHL; including capital markets, Basel, CCAR, RECOR, production and servicing. **Awarded:** Truly Outstanding Performance (T.O.P.) Award: November 2014, December 2014 (2), Team Excellence Award: February 2015.
* Lead meetings, process walkthroughs, and interviews with audit business partners to identify processes, risks, and controls.
* Identified and assessed key risks and controls to develop effective test plans for engagements.

**Audit Associate, Wells Fargo Audit Services, West Des Moines IA June 2013-June 2014**

* Execute test work for audits and issue validations related to Consumer Lending Group (CLG) – Wells Fargo Home Lending (WFHL).
* Participate in meetings and draft email communications to business partners for test work.
* Assist with conversion testing and editing related to new AMP database for WFAS.

**Audit Intern, Wells Fargo Audit Services, West Des Moines IA June 2012-August 2012**

* Execute test work for audits and issue validations related to Consumer Lending – Real Estate (CLRE); including project audits and Consent Orders.

**EDUCATION\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**

**Iowa State University – Accounting & Finance August 2008-May 2013**

**ACTIVITES, LEADERSHIP, & AWARDS\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**

**Wells Fargo Audit Services, Wells Fargo & Co.**

*Wholesale Team Member Engagement Committee* **September 2016– June 2018**

*CLG CMPM Communities of Practice Champion* **March 2015–September 2016**

*Des Moines Diversity Council Co - Chair* **July 2014–August 2015**

*MAD Month - Des Moines City Coordinator* **April-June 2014 & 2015**

*United Way Campaign Committee Chair Member* **September–October, 2013, 2014, & 2015**

**Greater Des Moines Leadership Institute**

*Community Connect Program – 2018 Graduate* **February 2018-April 2018**

**Variety – the Children’s Charity**

*Young Variety – President* **May 2018-Present**

*Young Variety – Vice President* **May 2017-May 2018**

*Young Variety - Vice President of Membership* **May 2015-May 2017**

*Savor Des Moines – Committee Chair Member*  **October 2014-April 2015**

**Social Media Club of Des Moines**

*Board Member* **November 2016-November 2017**