

James W. Thompson, CFA, CFP

Value Wealth Management

6150 Village View Drive, Suite 101

West Des Moines, IA 50266

(515) 244-5141

Jim.Thompson@ValueWealthManagement.com

Work Experience

Wealth Management Advisor - Value Wealth Management West Des Moines, IA (4/08-current)

- Responsible for approximately \$100 million in client assets across a variety of investment objectives, which incorporate income tax considerations, cash flow needs, and estate planning goals
- Research individual companies and asset classes for potential investment in our tactical allocations
- Evaluate and select external managers for management of client funds within specific asset classes
- Advise clients on income tax, cash flow budgeting, small business, and career & benefit issues
- Assist clients in managing agricultural and commercial investment properties
- Presented a comparison of public equity and farm valuation at the 2013 Gannon Real Estate Conference

Adjunct Finance Professor (Corporate Finance) – Drake University Des Moines, IA (1/11-5/11)

Senior Securities Analyst – Aviva Investors Des Moines, IA (4/07-3/08)

- Researched global companies in the chemical, mining, paper, and agriculture industries
- Responsible for approximately \$1 billion in exposure across insurance, total return, and structured portfolios
- Recommended credit and equity derivative trades for implementation in the company's hedge fund

Director – Investment Strategy & Equity Research – Investors Management Group

West Des Moines, IA (1/05-12/06)

- Managed large capitalization equity portfolios for insurance companies, retirement plans, and individuals
- Refined investment process to blend qualitative and quantitative research with benchmark risk budgeting
- Developed external manager evaluation process and select managers in multiple asset classes
- Created asset allocation process based on investor time horizons and risk-return optimization

Equity Analyst – Investors Management Group West Des Moines, IA (12/03-12/04)

- Researched large capitalization stocks in the consumer, telecommunications, and utility sectors
- Evaluated and selected financial software programs for use by investment team

High Yield Analyst – Principal Global Investors Des Moines, IA (1/02-12/03)

- Researched high yield issuers in the telecommunications, energy, gaming, and power generation sectors
- Recommended securities for purchase in total return and yield-based accounts
- Produced credit reports with independent credit ratings and projections

Junior Equity Analyst – Robert W. Baird & Co. Milwaukee, WI (8/98-8/00)

- Wrote research reports and company updates for IT services, retail, and consumer products firms
- Created and maintained financial models for more than 20 small-, mid-, and large-capitalization firms
- Communicated with company executives, institutional and retail brokers, and institutional clients

Internships

Fixed Income Intern – Principal Capital Income Investors Des Moines, IA (5/01-8/01)

- Developed portfolios using internal analyst opinions, benchmark characteristics, and client requirements
- Measured portfolio tracking error and performed risk and return attribution

Equity Research Intern – VMF Capital, L.L.C. Cedar Rapids, IA (10/00-3/01)

- Presented industry information to portfolio managers to facilitate sector-weighting decisions
- Wrote brief portfolio action reports for publication on the company website

Asset Management Intern – Investors Management Group Des Moines, IA (9/97-12/97)

- Analyzed trends of financial data for stocks in an equity portfolio
- Produced credit reports on long-term debt and commercial paper issuers

Real Estate Investment Intern – BH Equities, Inc. Des Moines, IA (5/97-8/97 & 5/98-7/98)

- Created detailed financial reports for a portfolio of real estate investment properties
- Developed projected cash flow and internal rate of return models for new investment opportunities

Education

The University of Iowa, Iowa City, IA (8/00-12/01)

MBA - Henry B. Tippie School of Management

Concentration: Finance GMAT: 740

Drake University, Des Moines, IA (8/95-5/98)

B.S. in Business Administration, Cum Laude

Major: Finance - Investments Minor: Economics

Wartburg College, Waverly, IA (9/93-5/95)

Majors: Math, Physics Minor: Engineering

Honors and Activities

Drake University-Krause Challenge Advisory Board
Certified Financial Planner-CFP Board of Standards
Henry Fund Scholarship - Tippie MBA Program
Grannon Scholarship - Tippie MBA Program
Graduate Assistant - Tippie MBA Program
Wall Street Journal Academic Achievement Award
Finance Departmental Honors - Drake University
Regents Scholar - Wartburg College

Chartered Financial Analyst Designation-CFA Institute
President - Graduate Financial Management Association
Chartered Financial Analyst - Level I Exam Scholarship
Missouri Valley Conference Champion - Shot Put
Drake University Record Holder - Discus
Team Captain - Drake University Track and Field
Track and Field Scholarship - Drake University